

## Toxic Substances Control Act (TSCA) Program Self-Assessment Checklist

*Each lab should complete this checklist annually to evaluate TSCA program status. Immediately correct all "No" responses.*

| EVALUATION ITEM  | YES | NO | CORRECTIVE ACTIONS |
|--|-----|----|--------------------|
| <b><i>Assessing</i></b>  |     |    |                    |
| Has lab determined TSCA applicability by using the TSCA Applicability form?  |     |    |                    |
| Are lab operations conducted for scientific and research purposes only (i.e. no commercial operations)?  |     |    |                    |
| <b><i>Planning</i></b>   |     |    |                    |
| Has a person(s) been identified to coordinate lab's TSCA related activities?   |     |    |                    |
| Does the lab maintain a TSCA records file?   |     |    |                    |
| <b><i>Implementing</i></b>   |     |    |                    |
| Have appropriate personnel accessed and reviewed TSCA Implementation and Compliance Guide?   |     |    |                    |
| <b><i>Reviewing</i></b>  |     |    |                    |
| Has TSCA applicability been determined and documented annually using the TSCA Self Assessment Checklist?   |     |    |                    |
| <b><i>Organization</i></b>   |     |    |                    |
| Have duties been assigned to appropriate lab personnel to ensure compliance with TSCA?   |     |    |                    |
| <b><i>Resources</i></b>  |     |    |                    |
| Are EH&S personnel providing adequate assistance to your lab with your TSCA program?   |     |    |                    |
| <b><i>Training</i></b>   |     |    |                    |
| Have affected lab personnel utilized the TSCA Implementation and Compliance Guide?   |     |    |                    |
| <b><i>Documentation/Info Management</i></b>  |     |    |                    |
| Does your TSCA records file include all appropriate TSCA records including: TSCA Applicability Determination Forms, import certification, export notifications, chemical transfer records, and health and safety exposure related records? |     |    |                    |
| <b>NOTES:</b>  |     |    |                    |
|  |     |    |                    |